

Response under 37 C.F.R. 1.116 - Expedited Examining Procedure Examining Group 1774

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Customer No. 01333

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Richard L. Parton, et al

ORGANIC ELEMENT FOR ELECTROLUMINESCENT DEVICES

Serial No. 10/810,282

Filed 26 March 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA. 22313-1450

Sir:

Group Art Unit: 1774

Examiner: Marie Rose Yamnitzky

I hereby certify that this correspondence is being deposited today with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dudra & mack

July 25, 2007

DECLARATION PURSUANT TO 37 C.F.R. 1.131

The undersigned, Stephen P. Singer, of the county of Monroe, State of New York, declares that:

He received the degree of B.S. in Chemistry from Clarkson College of Technology in 1973 and the degree of Ph. D. in Organic Chemistry from the Massachusetts Institute of Technology in 1977;

He has been employed in the research laboratories of Eastman Kodak since 1978;

He is an Intellectual Property Coordinator in the area of Organic Light Emitting Diode research for Eastman Kodak Company, and as such has access to the laboratory notebooks and other research data obtained in the ordinary course of business;

He is familiar with the content of the above-captioned application;

He confirms that none of the four inventors in the above-captioned application is still employed at this time by the assignee of the present application, Eastman Kodak Co;

With respect to both of the cited references:

- (A) US 2005/0064233 of Matsuura, cited by the Examiner under 35 USC 102(e) and under 35 USC 103(a) through 35 USC 102 (e) and having an effective US filing date of July 11, 2003, and
- (B) U.S. Patent Publication 2003/0129449, published July 10, 2003 and counterpart EP 1298738 published April 2, 2003, cited by the Examiner under 35 USC 103(a) through 35 USC 102 (a),

Exhibits A1-A6 hereto are copies of the date redacted but otherwise unaltered notebook pages and test results' of inventor Richard Parton obtained in the ordinary course of the research business of Eastman Kodak Co., all dated prior to April 2, 2003, demonstrating that the invention was reduced to practice prior to the effective dates of the above references.

In particular:

Exhibit A1 shows the synthesis of Inv-7 in the top left of notebook page 16.

Exhibit A2 shows the same syntheses at notebook page 34, as identified in the test results.

Exhibit A3 shows the sample preparation and testing results for the data in Table 2 at page 46 wherein inventive Sample 7 is column F, inventive Sample 8 is Column D, and comparative Sample 9 is column A.

Exhibit A4 shows the synthesis of Inv-1 in the top right of page 19 of the notebook..

Exhibit A5 shows the synthesis of Inv-1 at page 25 of the notebook, as identified in the test reslts.

Exhibit A6 shows the data for Example 4 in Table 1 of the specification at page 45 of the specification.

The results in Exhibit A2 using Inv-7 show that the inventive initial luminescence of Samples 7 and 8 is far greater than for Sample 9 containing on TNB in the HTL. The results for Exhibit A4 using Inv-1 show superior results in columns E compared to column A containing only TNB in the HTL. It is noted that, for these samples, the sum of the two HTL layers always adds to 750 Angstroms or 75 nm. It is clear that there is an inadvertent decimal error in Table 1 samples 4 and 5 where the amount of HTL-2 should be 5.0 rather than 0.5. This does not affect the advantages shown for the invention.

The foregoing only represent a small portion of the data relating to the invention and the remaining data is not inconsistent with the data presented here.

The undersigned declares that all statements made herein of the undersigned's own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: July 24, 2007

Stephen P. Singer

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DP021108-1

OLED run#: DP021108-1 Completed date: Operator NB ref: Run request date: Dick Parton / 7 440 Call Kevin Donovan x20496 when complete Originator: CC119-34D (EB103F) Originator NB ref: Examine new HTM with TNB and DPQA Expermt Objective sublimation temp. sublimation temp 305-315 C at 0.6 torr Celliabel (A-F): Substrate: Polytronics glass Anode: SITO Pretreatment: CFx CFx CFx CFx HTEmaterial: TNB Thickness (A) 750 700 550 200 Rate high/low Rate high/low
HTL material: CC1119-34D (EB103E) Thickness (A) 50 550 550 Rate (A/s) 4 4 4 4 4 Rate high/low: Emitter host: Alg Thickness (A) 🗼 🧼 ...375 375 375 Rate (A/s) Rate high/low EML dopant: " A DPQA 0.6% Dopant Volume % 0.6% 0.6% 0.6% 0.6% 0.6% Thickness (A) 0 2.25 2:25 2.25 2.25 2.25 Rate (A/s) Rate high/low ETL: Alq 375 Thickness (A) 375 375 Rate (A/s) Cathode: Mg/Ag Mg thickness (A) 2000 2000 2000 2000 2000 2000 Mg rate (A 10 10 10 10 10 10 Ag thickness (A) 200 200 200 200 200 200 Ag rate (A/ 1 1 1 1 1 1 Device data @ 20 mA A1 B1 C1 D1 TEL/Y Voltage 7.4 7.0 7.7 9.7 10.9 7.9 W/A 0.042 0.049 0.048 0.054 0.048 0.052 Cd/A 7.27 8.44 8.36 9.39 8.31 9.06 CIEx 0.322 0.321 0.321 0.318 0.320 0.319 CIEy 0.644 0.642 0.644 0.647 0.645 0.646 L (cd/m^2) 1453.0 1687.0 1671.0 1877.0 1662.0 1811.0 peak wavelength 528 528 528 528 528 528 70°C 20mA Fade Data (Linitial normalized to 1.0) T_{1/2} (hours) % remaining after fade 73.1% 69.1% 68.1% 65.3% 66.9% 70.8%

335.0

335.0

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total fade time (hours)

335.0

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Pretreatment:					CFx CFx	CFx CFx
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Thickness (A)	0.30	50 🐩	200	7550	700	750
Rate (A/s)		4	4	4	4	4
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HTL material:	TNB			and had been	14.63.5	20.集集发写。4
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Thickness (A)	375	375	375	375	375	375
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Dopant Volume %	0.6%	0.6%	0.6%	0.6%	0.6%	0.6%
Thickness (A)	2.25	2.25	2.25	2.25	2.25	2.25
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Thickness (A)	375	375	375	375	375	375
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Device data @ 20 m/					1	1
Voltage	10.8	8.4	11.7	16.6	16.8	
W/A	0.062	0.061	0.062	0.071	0.072	16.0 0.071
Cd/A	10.82	10.58	10.95	12.54	12.70	12.48
CIEx	0.314	0.314	0.315	0.318	0.319	
CIEy	0.650	0.650	0.650	0.649	0.648	0.316 0.651
L (cd/m^2)	2163.0	2116.0	2190.0	2507.0	2539.0	2495.0
peak wavelength	528	528	528	528	528	528
	<u> </u>	020	520	320	320	320
	70°C	20mA Fade D	ı ata (L _{initiat} norma	alized to 1.0)		
T _{1/2} (hours)	NOTE: some v	oltages were t	oo high for acci	urate 70C fade	testing, refer to	RT fade test
% remaining after fade	76.4%	75.8%	76.5%	76.0%	66.3%	68.0%
total fade time (hours)	215.4	215.4	215.4	215.3	215.3	215.3
(,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		<u> </u>	, 2.0.4	210.0	210.0	213.3

Device data @ 20 mA A2 B2 C2 D2 E2 F2 Room Temp 20mA Fade Data (L _{initial} normalized to 1.0)							
T _{1/2} (hours)			T				
% remaining after fade	87.7%	84.3%	88.6%	87.3%	84.5%	84.7%	
total fade time (hours)	305.9	305.9	305.9	305.9	305.9	305.9	